November 27, 2012

MODIFICATION No. 1

Request For Proposals

Statewide Drug and Alcohol Testing Services for NYSDOT
Contract No. C031104

Reference is made to the above solicitation wherein the following changes are hereby incorporated:

1. **Delete** Attachment 8, Cost Proposal Page 1 and 2 and **Replace** with Revised Attachment 8. Those sections which have been revised are highlighted in yellow. **Please use revised Attachment 8 when preparing proposals.**

2. After Attachment 14, Documentation of Minimum Experience Requirements, **Insert** Attachment 15, Questions and Answers received through 11/23/12.

No other provision of the solicitation is otherwise changed or modified.

**Please direct questions to:**

Ms. Kathy Fitzpatrick, NYSDOT Contract Management
E-mail: kathy.fitzpatrick@dot.ny.gov

Sincerely,

Kathy Fitzpatrick, for
WILLIAM A. HOWE
Director, Contract Management
<table>
<thead>
<tr>
<th>Item</th>
<th>Cost Per Item</th>
<th>Estimated Quantity (base term)</th>
<th>Estimated Cost</th>
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</thead>
<tbody>
<tr>
<td><strong>COLLECTION SITE TESTING</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Testing During normal collection site hours:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Drug test, affiliated lab (e.g. Quest) ea</td>
<td></td>
<td>ea 450</td>
<td></td>
</tr>
<tr>
<td>Drug test, non-affiliated lab (e.g. ACM) ea</td>
<td></td>
<td>ea 3,600</td>
<td></td>
</tr>
<tr>
<td>Alcohol test ea</td>
<td></td>
<td>ea 720</td>
<td></td>
</tr>
<tr>
<td>Testing During off-hours ¹</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Drug test ea</td>
<td></td>
<td>ea 6</td>
<td></td>
</tr>
<tr>
<td>Alcohol test ea</td>
<td></td>
<td>ea 6</td>
<td></td>
</tr>
<tr>
<td><strong>ON-SITE TESTING</strong></td>
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<tr>
<td>Scheduled tests (e.g. random)</td>
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<tr>
<td>Drug test ea</td>
<td></td>
<td>ea 6,600</td>
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<tr>
<td>Alcohol test ea</td>
<td></td>
<td>ea 1,200</td>
<td></td>
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<tr>
<td>Emergency tests (e.g. post-accident)</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Drug test ea</td>
<td></td>
<td>ea 6</td>
<td></td>
</tr>
<tr>
<td>Alcohol test ea</td>
<td></td>
<td>ea 6</td>
<td></td>
</tr>
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### EXPERT TESTIMONY

<table>
<thead>
<tr>
<th>Description</th>
<th>Units</th>
<th>Cost</th>
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</thead>
<tbody>
<tr>
<td>Professional (Physician)</td>
<td>hr</td>
<td>6</td>
</tr>
<tr>
<td>Technical (Other)</td>
<td>hr</td>
<td>6</td>
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### SPLIT SPECIMEN

<table>
<thead>
<tr>
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</thead>
<tbody>
<tr>
<td></td>
<td>ea</td>
<td>9</td>
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</tbody>
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### SUBSTANCE ABUSE PROFESSIONAL SERVICES

<table>
<thead>
<tr>
<th>Description</th>
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</thead>
<tbody>
<tr>
<td></td>
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<td>75</td>
</tr>
</tbody>
</table>

**GRAND TOTAL**  
(basis for cost evaluation)  

$\text{__________}$

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**Notes:**

1. When collection site is normally closed, e.g. post accident test.
2. Expert testimony and substance abuse professional services cost per hour is inclusive of all direct costs, indirect costs, and fee/profit EXCEPT for travel which will be reimbursed in accordance with NYS travel guidelines and subject to NYSDOT’s approval.

Costs quoted for all drug and alcohol tests, on site or off site and during regular business hours or outside regular business hours, are inclusive of all consultant costs, fees, travel expenses or charges associated with collection, analysis, administration, and reporting of those tests.
Attachment 15

QUESTIONS AND ANSWERS FOR STATEWIDE DRUG AND ALCOHOL TESTING SERVICES FOR NYSDOT RFP
QUESTIONS RECEIVED THROUGH 11/23/12

Q1. Is it true that this RFP allows only Minority and/or Women owned businesses to participate?

A1. NYSDOT has established an MWBE participation goal for subcontracting on this procurement of 20% of the total contract value. Please review the RFP, specifically section I. D. for MWBE participation goals and good faith effort documentation requirements.

Q2. What testing Lab and TPA (Third Party Administrator) do you currently use for your testing and result reporting?

A2. NYSDOT currently uses ACM Lab and our Third Party Administrator is Energetix.

Q3. Within our network, there are local collection sites and clinics within each of your regions, but knowing that some parts of certain regions are more rural, what type of radius do you require for the clinics to be within for each of your sites? Are there certain sites that you strictly use onsite mobile collection services for?

A3. If feasible, NYSDOT would prefer to do the majority of random testing onsite. In some areas, employees from a smaller sub-residency or FM (Fleet Management) shops test onsite at a nearby larger residency. The Resident Engineers work with the current TPA to determine the best testing scenario. If onsite testing is not a possibility due to the location, then employees are sent to a collection site for testing.

Q4. When performing mobile collections onsite, does your current vendor require a minimum number of people and what is that number? Does the vendor also charge any hourly charges or mileage, especially in areas that are more rural? This information is critical to make sure enough collectors are available for a certain area and to assess charges accordingly.

A4. The onsite collectors are under contract to the current TPA. We pay a negotiated flat fee for onsite testing regardless of where the testing is held.

Q5. What is your current pricing for drug and alcohol testing, both mobile onsite and at local clinics? After hour costs? Emergency testing costs?

A5. NYSDOT will not be releasing the Cost information for the current contract at this time.
Q6. As far as the need for random testing, how is the scheduling currently coordinated to accomplish these tests, specifically from a mobile onsite basis? Does the vendor provide one mass list of randoms chosen for the whole state and then your location managers determine how and when to test these individuals? Or is there a separate pool per region to make testing for randomized individuals more convenient for mobile collectors?

A6. NYSDOT currently selects names on a quarterly basis. The current TPA provides the selected names to the onsite collectors, the Resident Engineers and the DER (Department Employer Representative). The onsite collectors work with the Resident Engineers to work out the best date and time for the testing to occur.

Q7. Are random selections made on a monthly or quarterly basis?

A7. As of April 1, 2012, NYSDOT changed to a quarterly random draw.

Q8. In regards to the number provided for “Emergency Tests” (e.g. Post Accidents) in the estimated amount of 6, is that mostly Post Accidents that are deemed NECESSARY, per the regulations, to test, or are there additional Post Accident testing that may not qualify for a Post Accident test, having not met the minimum DOT requirement TO TEST, that we should need to take into consideration when providing a price for this service? If so, what additional number would be added to the estimate of 6?

A8. These are post accident tests, deemed necessary per regulations, estimated at six over the term of the new contract and the possible two year extensions (60 months).

Q9. What is your current turnaround time for negative results and how are these findings communicated (by email, online, by fax, etc)?

A9. NYSDOT currently receives results in 2-5 days via fax and a web-based system.

Q10. As far as local collection sites are concerned, is the State only looking for sites that provide both drug and alcohol testing, or for added convenience, would the state also utilize sites that just do urine collections for needs that just require this service?

A10. NYSDOT is looking for sites that can provide drug and/or alcohol testing as well as direct observe testing by a person of the same gender.
Q11. Please clarify the term "Affiliated Lab". E.g. Quest owns clinics throughout NY State that are affiliated (owned by) with Quest Laboratory. ACM (and most other Labs) does not own clinics statewide but is included on the Affiliated line item. Collection sites that are not owned by a lab fall under the Non Affiliated category. These are independent medical clinics owned by private entities and hospitals.

Please then confirm that 3600 drug tests will be done at a lab that owns clinics in NYS that are Affiliated (owned by a lab). Since there are far more independent (non lab affiliated) clinics than Affiliated clinics in NYS, it would appear that the 3600 and 450 may have been reversed.

A11. Correct. The 3,600 and 450 were inadvertently reversed. The examples for Affiliated and Non Affiliated have also been updated. See the corrected Cost Proposal, Revised Attachment 8. Be sure to use Revised Attachment 8 when preparing proposals.
ACKNOWLEDGEMENT OF RECEIPT: MODIFICATION NO. 1  

Request for Proposals  

Statewide Drug and Alcohol Testing Services for NYSDOT  

Contract No. C031104  

An authorized representative of your firm or organization must acknowledge receipt and acceptance of this Modification No. 1 by signing and returning a copy of this Modification Acknowledgement/Signature Page to the undersigned via inclusion in the Contract Section of your firm’s Part II Cost and Contract Proposal to NYSDOT.

ACKNOWLEDGED AND ACCEPTED:  

BY: ______________________________  
NAME: ___________________________  
TITLE: ___________________________  
FIRM: ____________________________

NYS DEPARTMENT OF TRANSPORTATION  
BY: original signed by: Kathy Fitzpatrick, for WILLIAM HOWE  
Director, Contract Management

*Include this page only in your proposal